Cancer Center Support Grant (CCSG)
Publication Compliance Policy:
An Initiative in Progress

Policy Support Infrastructure:
MSK Library ** Research Technology and Management ** SKI Administration

Donna Gibson
Director of Library Services

Debra Schaller-Demers,
Director, Research Outreach and Compliance

K. Alexandra MacDonald
Administrator, SKI Administration

November 9, 2015
RTM Policy: RTM-1007

Memorial Sloan Kettering Cancer Center
NIH Public Access Policy
January 9, 2015

The U.S. Congress passed the Consolidated Appropriations Act 2008, PL 110-161. In Division G, Title II Section 218 of the law

SEC. 218. The Director of the National Institutes of Health shall require that all investigators funded by
the NIH submit or have submitted for them to the National Library of Medicine’s PubMed Central an
electronic version of their final, peer-reviewed manuscripts upon acceptance for publication, to be made
publicly available no later than 12 months after the official date of publication. Provided, That the NIH
shall implement the public access policy in a manner consistent with copyright law.

This statutory requirement became effective April 7, 2008. All Memorial Sloan Kettering Cancer Center (MSK) researchers
who have or intend to receive NIH funding for their research must comply with this law. More specifically, this requirement
applies to MSK researchers who are directly* funded by an NIH grant or cooperative agreement active in Fiscal Year 2008
[October 1, 2007 – September 30, 2008] or beyond, or directly funded by an NIH contract signed on or after April 7, 2008.

Access MSK NIH-PAP Document
• LibGuide: http://libguides.mskcc.org/NIH-PAP (click on MSK Docs TAB)
• OneMSK – RTM: http://onemsk/rtm/Pages/default.aspx
  (click on link in left hand column, Policy and Guidelines
Revised policy NIH-PAP 2.14.13

Changes to Public Access Policy Compliance Efforts Apply to All Awards with Anticipated Start Dates on or after July 1, 2013: NOT-OD-13-042

- Non-competing awards will be delayed if pubs are out of compliance at time of progress report submission – start date of July 1, 2013 or beyond
- Happened in tandem with the mandate of the Research Performance Progress Reports (RPPR)
- Enhanced policy applies to ALL NIH awards – not just ones requiring RPPRs
Cancer Center Support Grant (CCSG)
Publication Compliance Policy
September 2015

MSK’s Cancer Center Support Grant (CCSG) is awarded by the National Cancer Institute (NCI) and provides the major
external funding for many of the Center’s research core facilities. This funding has been integral to MSK’s role as
an international leader in cancer research and care, and our ongoing status as a CCSG recipient benefits all members
of the MSK community.

Effective September 1, 2015, all peer-reviewed publications by MSK faculty or employees must acknowledge the
MSK Cancer Center Support Grant/Core Grant (P30 CA077598) in the funding acknowledgments, be deposited in
PubMed Central (PMC) and assigned a PubMed, and be properly associated with the Pro Cova Grant in MyMSK BiPay
Bibliography.

MSK Author Responsibilities:

1. The MSK Author must list the Core Grant (P30 CA077598) in the funding acknowledgments section when
submitting the manuscript to a journal for publication.
Email announcement

MSK's Cancer Center Support Grant (CCSG) is awarded by the National Cancer Institute and provides the major extramural funding for many of the Center's research core facilities. This funding has been integral to MSK’s role as an international leader in cancer research and care, and our ongoing status as a CCSG recipient benefits all members of the MSK community.

From: Eric Cottington, Vice President, Research and Technology Management
Sent: Wednesday, September 02, 2015 10:04 AM
CCSG 1-2-3 compliance requirements

• All peer-reviewed publications by MSK faculty or employees must:

(1) acknowledge the MSK CCSG in the funding acknowledgements;
(2) be deposited in PMC (PubMed Central) and assigned a PMCID and;
(3) be properly associated with the P30 Core Grant in MyNCBI/My Bibliography.
Proper acknowledgement

In addition to acknowledging other grants:

This research was funded in part through the NIH/NCI Cancer Center Support Grant P30 CA008748
Why this policy? Why now?

- Compliance with the NIH-PAP is a federal requirement
- Establish internal policy in support of CCSG competitive renewals and non-competing continuation awards
- Policy will strengthen workflows to pro-actively address all non-compliant NIH-funded papers

Concerns about policy or if your paper applies: coregrant@mskcc.org
NIH manuscript submission system

The NIH Manuscript Submission (NIHMS) system supports the deposit of manuscripts into PubMed Central (PMC), as required by the public access policies of NIH and other participating funders.

Manuscript Submission Process

1. Deposit Files
2. Initial Approval
3. NIHMS Conversion
4. Final Approval
5. PMCID Assigned

Available in PMC

Learn More
Method A

NIH Public Access Policy

Identify Submission Method

Enter a journal name below to see if it uses Submission Method A.

Search for Journal: 

Method A Journals

These journals make the final published version of all NIH-funded articles available in PubMed Central (PMC) no later than 12 months after publication without author involvement. The start date shown for each journal is the earliest publication date that meets this requirement.

Legend

<table>
<thead>
<tr>
<th>Journal title</th>
<th>NLM TA</th>
<th>pISSN</th>
<th>eISSN</th>
<th>Start date</th>
<th>End date</th>
</tr>
</thead>
<tbody>
<tr>
<td>3 Biotech</td>
<td>3 Biotech</td>
<td>2190-5738</td>
<td></td>
<td>Jul 2011</td>
<td></td>
</tr>
<tr>
<td>AAPS Journal</td>
<td>AAPS J</td>
<td>1550-7416</td>
<td></td>
<td>Sep 2004</td>
<td></td>
</tr>
<tr>
<td>ACS Chemical Neuroscience</td>
<td>ACS Chem Neurosci</td>
<td>1948-7193</td>
<td></td>
<td>Jan 2010</td>
<td></td>
</tr>
<tr>
<td>Acta Biochimica et Biophysica Sinica</td>
<td>Acta Biochim Biophys Sin (Shanghai)</td>
<td>1072-5145</td>
<td>1745-7270</td>
<td>Jan 2009</td>
<td></td>
</tr>
<tr>
<td>Acta Histochemia et Cytochemia</td>
<td>Acta Histochem Cytochem</td>
<td>0044-5561</td>
<td>1347-5809</td>
<td>Mar 2006</td>
<td></td>
</tr>
<tr>
<td>Acta Naturae</td>
<td>Acta Naturae</td>
<td>2075-8251</td>
<td></td>
<td>Apr 2009</td>
<td></td>
</tr>
</tbody>
</table>

http://publicaccess.nih.gov/submit_process_journals.htm
Select Deposit Publishers (Method B)

The publishers listed below do not automatically deposit every NIH-funded paper in PMC. Rather, the author can choose to arrange with the journal for the deposit of a specific article; this usually involves choosing the journal’s fee-based open access option for publishing that article. Please contact the respective journals directly for details on their programs.

Did you make arrangements with one of the journals or publishers listed below to have the final published version of your paper posted directly to PubMed Central (i.e. pay an open access fee)?

If yes, you are using Submission Method B.

If no, submit your paper through the NIHMS. See Methods C and D Best Practices

The journals and publisher programs that have this arrangement with NIH are:

- ACS AuthorChoice
- APA Open Access
- American Psychiatric Publishing Funder-Supported Public Access
- BMJ Open Access
- Cambridge Open Access
- ERJ Open
- European Society of Endocrinology Open Access
- Hogrefe OpenMind
- Informa Healthcare Open Access
- Journal of Visualized Experiments: JoVE

https://publicaccess.nih.gov/select_deposit_publishers.htm
Method C

• Author/Delegate responsible for depositing *final peer-reviewed manuscript*

• Three Steps
  • Deposit manuscript files to NIHMS System and link to NIH funding
  • Authorize NIH to process the manuscript (author receives email)
  • Approve the PMC-formatted version for public display (author receive email)

• After specified delay period, NIHMS will automatically send the article to PMC for posting
Method D

• Publisher deposits in NIHMS

• Steps for author
  – NIH emails corresponding author
    – Author approves manuscript
    – Author receives NIHMS Number
  – NIH emails corresponding author again
    – Author approves PMC-formatted version for public display
  – Once process is complete, NIHMS emails the citation and PMCID to all authors
# PMC deposit methods & compliance

<table>
<thead>
<tr>
<th>Version of paper submitted...</th>
<th>METHOD A</th>
<th>METHOD B</th>
<th>METHOD C</th>
<th>METHOD D</th>
</tr>
</thead>
<tbody>
<tr>
<td>Final published paper</td>
<td></td>
<td></td>
<td>Final peer-reviewed manuscript</td>
<td>Final peer-reviewed manuscript</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Who starts deposit?</th>
<th>Publisher</th>
<th>Publisher</th>
<th>Author or designee via NIHMS</th>
<th>Publisher</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Who approves paper for processing?</th>
<th>Publisher</th>
<th>Publisher</th>
<th>Author via NIHMS</th>
<th>Author via NIHMS</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Who approves paper for PMC display?</th>
<th>Publisher</th>
<th>Publisher</th>
<th>Author via NIHMS</th>
<th>Author via NIHMS</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Participating journal / publisher</th>
<th>Method A listed journal</th>
<th>Author makes arrangements w/ these publishers</th>
<th>Check publisher’s agreement</th>
<th>Library can make arrangements w/ these publishers</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Responsibility</th>
<th>NIH awardee</th>
<th>NIH awardee</th>
<th>NIH awardee</th>
<th>NIH awardee</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Cite papers – acceptance for publication to 3 months post-pub (from official date of publication)</th>
<th>PMCID or “PMC Journal – In process”</th>
<th>PMCID or “PMC Journal – In process”</th>
<th>PMCID or NIHMS ID</th>
<th>PMCID or NIHMS ID</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Cite papers – 3 months post-pub and beyond</th>
<th>PMCID</th>
<th>PMCID</th>
<th>PMCID</th>
<th>PMCID</th>
</tr>
</thead>
</table>
Associate pub with CCSG in MyNCBI

- Click “add award” to the publication you want to add the award to
- Box appears with the two tabs shown in screen shot
- Select Search/Add other awards
- Type in P30 grant as shown and Craig Thompson and only one entry for the core grant appears. Select and save
Compliance tips

• Be clear with publisher at the beginning of the manuscript submission process that your paper is NIH-funded

• The policy does not impact all publication formats (e.g. doesn’t apply to editorials, comments, letters, etc…)

• Submission Method C publishers *do not* deposit paper on behalf of author, as soon as manuscript has been accepted >> deposit in NIHMS system
Tips for Multi-I papers

• At the time of final manuscript review, email the corresponding author the request to include the acknowledgement.

• If this is a Method C journal and you are not the corresponding author, advise the corresponding author that you will be depositing the final peer-reviewed manuscript in the NIHMS system.

• The Library recommends taking the lead to get the task done as soon as the manuscript is accepted -- the core grant supports MSK research and bottom line NIH Public Access policy is a federal requirement.

• If they flatly refuse, have them send that refusal in written form (ie, email), which you can then use in f/u conversations within MSK (appeals).

• While it is usually the corresponding author that deposits the paper in the NIHMS system – the reality is that any of the co-authors can take on this task.

• Once the paper has been assigned its PMCID, all authors are sent an email to let them know.
The National Cancer Institute (NCI) provides programs that are one of the anchors of the nation’s cancer research effort. There are currently 68 NCI-Designated Cancer Centers that form the backbone of NCI’s programs for studying and controlling cancer. Memorial Sloan Kettering is a comprehensive cancer center delivering cutting-edge cancer treatments through research, education, and patient care. Funding is provided by the P30 Cancer Center Support Grant (CCSG) (P30 CA008748).

Effective September 1, 2015, all peer-reviewed publications by MSK faculty or employees must
(1) acknowledge the MSK CCSG in the funding acknowledgements;
(2) be deposited in PMC (PubMed Central) and assigned a PMCID and;
(3) be properly associated with the P30 Core Grant in MyNCBI/My Bibliography.

Policy Overview

The NIH Public Access Policy implements Division G, Title II, Section 218 of PL 110-181 (Consolidated Appropriations Act, 2008) which states:

SEC. 218. The Director of the National Institutes of Health shall require that all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine’s PubMed Central an electronic version of their final, peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication. Provided, That the NIH shall implement the public access policy in a manner consistent with copyright law.
Key contacts

Need assistance with acquiring a PMCID? Confirming which submission method?
• Donna Gibson, Director of Library Services: gibsond@mskcc.org

Need assistance with acknowledging the Core Grant or with My Bibliography?
• Contact your Grants Management Specialist or coregrant@mskcc.org

Have additional questions about the NIH Public Access Policy?
• Director, Research Outreach and Compliance: RTMROC@mskcc.org
Questions?