NIH Public Access Policy Requirements for Publications Associated with the Cancer Center Support Grant (Core Grant)

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Donna Gibson, Director of Library Services
NIH Public Access Policy

The NIH Public Access Policy implements Division G, Title II, Section 218 of PL 110-161 (Consolidated Appropriations Act, 2008) which states:

SEC. 218. The Director of the National Institutes of Health shall require that all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine’s PubMed Central an electronic version of their final, peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication: Provided, That the NIH shall implement the public access policy in a manner consistent with copyright law.
Memorial Sloan Kettering Cancer Center
NIH Public Access Policy
January 31, 2014

The U.S. Congress passed the Consolidated Appropriations Act 2008, PL-110-161. In Division G, Title II Section 218 of the law it states:

SEC. 218. The Director of the National Institutes of Health shall require that all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine's PubMed Central an electronic version of their final, peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication: Provided, That the NIH shall implement the public access policy in a manner consistent with copyright law.

This statutory requirement became effective April 7, 2008. All Memorial Sloan Kettering Cancer Center (MSK) researchers who have or intend to receive NIH funding for their research must comply with this law. More specifically, this requirement applies to MSK researchers who are directly* funded by an NIH grant or cooperative agreement active in Fiscal Year 2008 (October 1, 2007- September 30, 2008) or beyond or directly funded by an NIH contract signed on or after April 7, 2008.

* It also applies to MSK investigators whose research is supported by CSG-supported shared resources.

This law has the following key compliance issues:
- On or after April 7, 2008, submission of peer-reviewed manuscripts upon acceptance to PMC, which requires

Access MSK NIH-PAP Document
LibGuide: http://libguides.mskcc.org/NIH-PAP (click on MSK Docs TAB)
OneMSK – RTM: http://onemsk/rtm/Pages/default.aspx (click on link in left hand column, Policy and Guidelines)
Most Recent Update February 14, 2013

Changes to Public Access Policy Compliance Efforts Apply to All Awards with Anticipated Start Dates on or after July 1, 2013: NOT-OD-13-042

• Non-competing awards will be delayed if pubs are out of compliance at time of progress report submission – start date of July 1, 2013 or beyond

• Happened in tandem with the mandate of the Research Performance Progress Reports (RPPR)

• Enhanced policy applies to ALL NIH awards – not just ones requiring RPPRs
NIH Public Access Policy and the Cancer Center Support Grant (Core Grant)
NIH Public Access Policy and the Cancer Center Support Grant

- Overview of Cancer Center Support Grant
- Compliance Requirements Related to the Cancer Center Support Grant

“Cancer Center Support Grant” = “CCSG” = “Core Grant”
Overview of the Core Grant: General

What is the Core Grant?

• Award mechanism (P30) from the National Cancer Institute (NCI) designed to support Centers which demonstrate scientific excellence and the capability to integrate a diversity of research approaches to focus on the problem of cancer.

Why is it important to MSK?

• Provides $6.95M direct costs annually to support:
  – 21 Core Facilities
  – Developmental Funds
    – Faculty Recruitment in Strategic Areas
    – Collaborative Pilot Projects Related to Population Sciences Program

• Prestige as well as dollars
  – Gives MSK NCI Comprehensive Cancer Center designation (only 41 Comprehensive Cancer Centers in the US)
  – MSK has held the award for close to 50 years
Overview of the Core Grant: Key Dates

- Non-competing progress reports due annually on Nov 1
  - Application development: May – November
  - Materials collected from Program Members, Core Heads, Program Leaders, recipients of Developmental Fund and recipients of Supplements

- Competing application due every five years
  - Next competing application due January 2018

Current Grant Period: 1/1/14 – 12/31/18
Overview of the Core Grant: General

Focus of Discussion = Publication Compliance Guidelines Related to the Core Grant

• Keep broader NIH/MSK Public Access Policy in mind
• Special Instruction for this year’s non-competing progress report (occurring now)
Compliance Requirements Related to the Cancer Center Support Grant

Requirement:
All publications that meet one or both of the following criteria:

- Are funded directly through the Core Grant via Developmental Funds
- Use Core Grant-supported core facilities

1) Must have a PMCID
2) Must acknowledge the Core Grant in the funding acknowledgement section of the publication
3) Must be associated with the Core Grant in the My Bibliography section of My NCBI
# Compliance Requirements Related to the Cancer Center Support Grant

## 2014 Developmental Funds

<table>
<thead>
<tr>
<th>Faculty Recruitment</th>
<th>Charles Rudin, MD, PhD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Barry Taylor, PhD</td>
<td></td>
</tr>
<tr>
<td>Pilot Projects</td>
<td>Denise Correa, PhD</td>
</tr>
<tr>
<td>Peter Kingham, MD</td>
<td></td>
</tr>
<tr>
<td>John Petrini, PhD</td>
<td></td>
</tr>
<tr>
<td>Allison Snyderman, PhD</td>
<td></td>
</tr>
</tbody>
</table>

- Faculty receive **direct funding support** from the Core Grant.
- Publications resulting from Core Grant funding support **must be compliant**.
  1. Obtain PMCID
  2. Acknowledge Core Grant in Funding Acknowledgements
  3. Associate with Core Grant in My Bibliography (My NCBI)
## Compliance Requirements Related to the Cancer Center Support Grant

### 21 Core Facilities

<table>
<thead>
<tr>
<th>Core Facility</th>
<th>Service</th>
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</thead>
<tbody>
<tr>
<td>Center for Comparative Medicine and Pathology (CCMP)</td>
<td>Flow Cytometry</td>
</tr>
<tr>
<td>High-Throughput Screening</td>
<td>Genomics</td>
</tr>
<tr>
<td>Molecular Cytology</td>
<td>Monoclonal Antibody</td>
</tr>
<tr>
<td>Molecular Cytogenetics</td>
<td>X-Ray Crystallography</td>
</tr>
<tr>
<td>Mouse Genetics</td>
<td>Antitumor Assessment</td>
</tr>
<tr>
<td>Animal Imaging</td>
<td>Organic Synthesis</td>
</tr>
<tr>
<td>Gene Transfer and Somatic Cell Engineering</td>
<td>Radiochemistry and Molecular Imaging Probes</td>
</tr>
<tr>
<td>Pathology</td>
<td>Research Pharmacy</td>
</tr>
<tr>
<td>Biostatistics</td>
<td>Behavioral Research Methods</td>
</tr>
<tr>
<td>Bioinformatics</td>
<td>Web Survey Core</td>
</tr>
<tr>
<td>Microchemistry and Proteomics</td>
<td></td>
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</tbody>
</table>

Faculty member used a core facility that receives direct funding support from the Core Grant.

Publications resulting from use of Core Grant-funded core facilities **must be compliant**.

1. Obtain PMCID
2. Acknowledge Core Grant in Funding Acknowledgements
3. Associate with Core Grant in My Bibliography (My NCBI)
Compliance Requirements Related to the Cancer Center Support Grant

1) Must have a PMCID

More from Donna
Compliance Requirements Related to the Cancer Center Support Grant

2) Must acknowledge the Core Grant in the funding acknowledgement section of the publication

This study was funded in part through the NIH/NCI Cancer Center Support Grant P30 CA008748
Compliance Requirements Related to the Cancer Center Support Grant

3) Must be associated with the Core Grant in the My Bibliography section of My NCBI

In My NCBI, search by →
Grant #:/Name: P30 CA008748
+  
Grantee Name: Thompson
Associating Publication with Core Grant in MyNCBI
Search by Grant # and Grantee Name
Associating Publication with Core Grant in MyNCBI

Search by Grant # Only
Compliance Requirements Related to the Cancer Center Support Grant

Special instructions for achieving compliance for non-competing progress report:

Associate only COMPLIANT publications (already have PMCID numbers) with the Core Grant in the My Bibliography section of My NCBI.

Search by →
Grant #/Name: P30 CA008748
+ Grantee Name: Thompson
Cancer Center Support Grant Program Membership

YOUR NEXT STEPS

• Discuss compliance with your lab head/physician

• Complete outstanding actions requested for the 2014 progress report
Questions?

General Questions about Core Grant:
Linda Stevenson – stevensl@mskcc.org

Carrie Pfahl – pfahlc@mskcc.org
Ensuring Compliance
Four Steps Towards Compliance

1. Determine Applicability
2. Address Copyright
3. Submit Paper (use Correct Method)
4. Cite Paper
Publication Agreement

• NIH–PAP compliance starts here!
  • Authors own the original copyright to their articles
  • Publishers ask authors to transfer some or all of their rights
  • *Don’t* sign any copyright transfer or publication agreement that prohibits the article from being submitted to PMC
Determine Submission Method

• Four Submission Methods
  – **Method A** – Publish in a journal that deposits all final published articles in PMC without author involvement
  – **Method B** – Author arranges w/ Publisher to deposit published version of specific NIH-funded article in PMC. Author confirms the article is deposited
  – **Method C** – Author or delegate submits final peer-reviewed manuscript to NIHMS. NIHMS sends author emails
  – **Method D** – Journal publisher submits final peer-reviewed manuscript to NIHMS. NIHMS sends author emails
Method A

**Identify Submission Method**

Enter a journal name below to see if it uses Submission Method A.

Search for Journal:  

Method A Journals

These journals make the final published version of all NIH-funded articles available in PubMed Central (PMC) no later than 12 months after publication without author involvement. The start date shown for each journal is the earliest publication date that meets this requirement.

**Legend**

<table>
<thead>
<tr>
<th>Journal title</th>
<th>NLM TA</th>
<th>pISSN</th>
<th>eISSN</th>
<th>Start date</th>
<th>End date</th>
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<td>2160-6738</td>
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<td>AAPS Journal</td>
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<td>1560-7416</td>
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<td>ACS Chemical Neuroscience</td>
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<td>Acta Biochimica et Biophysica Sin</td>
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<td>Jan 2009</td>
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<td>Acta Crystallographica Section C: Crystal Structure Communications</td>
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<td>0108-2701</td>
<td>0108-2700</td>
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<td>0907-4449</td>
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<td>Acta Cytologica</td>
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<td>Acta Histochemica et Cytochemica</td>
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<td>0044-5991</td>
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</table>

http://publicaccess.nih.gov/submit_process_journals.htm
Method B

https://publicaccess.nih.gov/select_deposit_publishers.htm
Method C

• Author/Delegate responsible for depositing *final peer-reviewed manuscript*

• Three Steps
  • Deposit manuscript files to NIHMS System and link to NIH funding
  • Authorize NIH to process the manuscript (author receives email from NIH)
  • Approve the PMC-formatted version for public display (author receives email to approve release)

• After the specified delay period, NIHMS will automatically send the article to PMC for posting
Method D

- Publisher deposits in NIHMS
- Steps for author
  - NIH emails corresponding author
    - Author approves manuscript
    - Author receives NIHMS Number
  - NIH emails corresponding author again
    - Author approves PMC-formatted version for public display
  - Once process is complete, NIHMS emails the citation and PMCID to all authors
## PMC Deposit Methods & Compliance

<table>
<thead>
<tr>
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<th>METHOD A</th>
<th>METHOD B</th>
<th>METHOD C</th>
<th>METHOD D</th>
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<tr>
<td><strong>Version of paper submitted...</strong></td>
<td>Final published paper</td>
<td>Final published paper</td>
<td>Final peer-reviewed manuscript</td>
<td>Final peer-reviewed manuscript</td>
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<tr>
<td><strong>Who starts deposit?</strong></td>
<td>Publisher</td>
<td>Publisher</td>
<td>Author or designee via NIHMS</td>
<td>Publisher</td>
</tr>
<tr>
<td><strong>Who approves paper for processing?</strong></td>
<td>Publisher</td>
<td>Publisher</td>
<td>Author via NIHMS</td>
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<td>Author via NIHMS</td>
<td>Author via NIHMS</td>
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<tr>
<td><strong>Participating journal / publisher</strong></td>
<td>Method A listed journal</td>
<td>Author makes arrangements w/ these publishers</td>
<td>Check publisher’s agreement</td>
<td>Make arrangements w/ these publishers</td>
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<td>NIH awardee</td>
<td>NIH awardee</td>
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<td><strong>Cite papers – acceptance for publication to 3 months post-pub</strong></td>
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<td>PMCID or “PMC Journal – In process”</td>
<td>PMCID or NIHMS ID</td>
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<td><strong>Cite papers – 3 months post-pub and beyond</strong></td>
<td>PMCID</td>
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<td>PMCID</td>
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</table>
Confirming PMCID Number

• All authors on a paper will receive PMCID confirmation

• Other sources to check include:
  • PubMed
  • PubMed Central
  • PMCID - PMID - Manuscript ID - DOI Converter
  • MyNCBI/My Bibliography
  • eRA Commons
  • PACM (Public Access Compliance Monitor) - Library has been assigned an administrative role and can help locate/confirm NIHMS IDs & PMCID
  • Synapse
Let us know if we are missing a PMCID!

https://synapse.mskcc.org
Compliance Tips

• Be aware of the publisher’s agreement and their level of policy support
• Understand which submission method was used
• Understand which publication formats fall under the NIH-PAP
• Note on your calendar PMCID due dates or develop a spreadsheet to track NIH-funded publications
NIH Public Access Policy LibGuide

http://libguides.mskcc.org/NIH-PAP

New tab added with step-by-step instructions for Submission Method C
Questions?

PMCID issues, problems with non-complaint papers:
Donna Gibson – gibsond@mskcc.org

PMCID missing in Synapse:
Bernadette Joe – joeb@mskcc.org